KNOW YOUR CUSTOMER (KYC), AML & SANCTIONS COMPLIANCE QUESTIONNAIRE:

Professional Counterparty Due Diligence Form:

STRICTLY CONFIDENTIAL:

Date: ____________________

Reference No.: ____________________

SECTION 1 – COMPANY INFORMATION

1. Legal Company Name:

---

2. Trade Name:

---

3. Registration Number:

---

4. Date of Incorporation:

---

5. Country of Incorporation:

---

6. Tax Identification Number (TIN):

---

7. VAT / GST Registration Number:

---

8. Commercial Registration Number:

---

9. DUNS Number (if available):

---

10. LEI Number (if available):

11. Registered Office Address:

12. Operational Office Address:

13. Website:

14. General Email:

15. Telephone:

16. Nature of Business:

17. Number of Employees:

18. Annual Turnover (USD):

SECTION 2 – OWNERSHIP & BENEFICIAL OWNERS

List all shareholders owning 10% or more.

Name Nationality Ownership % Passport/ID No.

Ultimate Beneficial Owner (UBO):

Name:

Nationality:

Date of Birth:

Passport Number:

Residential Address:

Source of Wealth:

Source of Funds:

SECTION 3 – DIRECTORS & AUTHORIZED SIGNATORIES

Name	Position	Nationality	Passport No.

Authorized Signatory:

Name:

Designation:

Email:

Mobile:

SECTION 4 – BANKING DETAILS

Bank Name:

Bank Address:

Account Name:

Account Number:

IBAN:

SWIFT Code:

Relationship with Bank Since:

SECTION 5 – BUSINESS ACTIVITIES

Please indicate activities undertaken:

□ Marine Services

□ Ship Agency

□ Ship Management

□ Ship Chartering

□ Ship Sale & Purchase

□ Crew Supply

□ Offshore Services

□ Oil & Gas Services

□ Fuel Trading

□ Bunkering

□ Marine Equipment Supply

□ Logistics

□ Construction

□ Commodity Trading

□ Other:

SECTION 6 – SANCTIONS, AML & COMPLIANCE

1. Is the company, any director, shareholder, beneficial owner, affiliate, subsidiary, vessel, cargo, customer, or business partner currently listed on any sanctions list?

□ YES □ NO

If yes, provide details:

---

2. Has the company ever been investigated for:

□ Money Laundering

□ Terrorist Financing

□ Fraud

□ Corruption

□ Bribery

□ Sanctions Violations

□ Tax Evasion

□ Criminal Activities

□ None

Provide details:

---

3. Is the company directly or indirectly involved with any sanctioned country, entity, vessel, cargo, individual, organization, government, military entity, or financial institution?

□ YES □ NO

If yes, provide details:

SECTION 7 – DECLARATION ON RESTRICTED COUNTRIES

Applicable Sanctions & Compliance Authorities

The Applicant hereby declares that neither the Applicant, its shareholders, directors, officers, employees, affiliates, subsidiaries, beneficial owners, agents, contractors, customers, cargo interests, financiers, nor vessels are subject to sanctions, restrictions, embargoes, blacklisting, or prohibitions imposed by:

- United Nations Security Council (UNSC)
- United States Department of Treasury – OFAC
- European Union (EU)
- United Kingdom – OFSI
- Kingdom of Bahrain
- Kingdom of Saudi Arabia
- United Arab Emirates
- State of Qatar
- State of Kuwait
- Sultanate of Oman
- Republic of India

- Republic of Singapore
- Republic of Indonesia
- Malaysia
- Democratic Socialist Republic of Sri Lanka
- People's Republic of China
- Hong Kong SAR
- Japan
- Republic of Korea (South Korea)
- Australia
- New Zealand
- Canada
- Switzerland
- Any other country, port authority, customs authority, maritime authority, banking authority, financial institution, export control authority, or regulatory body having jurisdiction over the transaction.

Additional Maritime Compliance Declaration

The Applicant further declares that:

- No vessel involved in the transaction has engaged in AIS manipulation, dark activities, illegal ship-to-ship transfers, sanctions evasion, smuggling, embargo violations, or concealment of cargo origin.
- No cargo originates from or is destined to any restricted, embargoed, sanctioned, or prohibited jurisdiction.
- No payments shall be made through sanctioned banks, shell banks, unlicensed money transmitters, cryptocurrency mixers, or unidentified third parties.
- The Applicant shall indemnify and hold harmless Samar Marine Services, its directors, shareholders, employees, affiliates, agents, and representatives from any losses, penalties, fines, vessel arrests, cargo detentions, sanctions investigations, banking restrictions, regulatory actions, or legal proceedings arising from inaccurate declarations or sanctions violations.

High-Risk Countries Declaration

The Applicant confirms that it is not directly or indirectly dealing with any person, entity, vessel, cargo, bank, government agency, military organization, or intermediary located in or connected with any sanctioned or high-risk jurisdiction, including but not limited to:

- Iran
- North Korea
- Syria
- Crimea Region
- Donetsk Region
- Luhansk Region
- Cuba
- Afghanistan (restricted entities)
- Russia (where sanctions apply)
- Belarus (where sanctions apply)
- Any country or territory subsequently sanctioned by the United Nations, USA, EU, UK, GCC States, India, Singapore, Malaysia, Indonesia, Sri Lanka, or other applicable authorities.

Personal Guarantee by Signatory

The undersigned Authorized Signatory personally certifies that all declarations are true and acknowledges that Samar Marine Services will rely upon these declarations when entering into contracts, charter parties, vessel transactions, crew supply agreements, fuel trading agreements, agency appointments, offshore projects, and marine services.

SECTION 8 – DOCUMENTS REQUIRED

Please attach:

□ Certificate of Incorporation

□ Commercial Registration

□ Trade License

□ Memorandum & Articles of Association

□ VAT Certificate

□ Tax Registration

□ Shareholder Register

□ UBO Declaration

□ Board Resolution

□ Power of Attorney

□ Passport of Directors

□ Passport of UBO

□ Proof of Address

□ Bank Reference Letter

□ Last Audited Financial Statements

□ Company Profile

□ Organization Chart

□ Client References

□ Supplier References

□ Existing Charter/Trading References

SECTION 9 – UNDERTAKING

The Applicant certifies that all information provided is true, complete, and accurate.

The Applicant agrees that Samar Marine Services may conduct independent verification, sanctions screening, vessel screening, ownership screening, compliance checks, credit investigations, banking verification, and due diligence reviews at any time.

The Applicant further agrees to immediately notify Samar Marine Services of any material changes in ownership, management, legal status, sanctions status, regulatory investigations, or financial condition.

Any false statement, omission, misrepresentation, sanctions exposure, or compliance violation shall constitute grounds for immediate termination of negotiations, contracts, business relationships, and may be reported to competent authorities.

Authorized Signatory:

Name: ______________________________

Position: ___________________________

Signature: _________________________

Company Stamp:

Date: ______________________________